

Manager – Legal and Compliance

February 2021

Trident Trust is a leading independent provider of corporate, trust and fund services to the financial services sector worldwide, employing over 900 staff across a global footprint that spans Africa, the Americas, Asia, the Caribbean, Europe and the Middle East. We are seeking talented professionals to join our thriving, award-winning, 80-person-strong Mauritius office. Client focussed and service oriented, we only employ individuals who are professionally minded, committed and able to demonstrate good interpersonal skills.

The Role

The manager of legal and compliance will be responsible for the legal and compliance affairs of the company as well as for providing guidance to its clients on the same matters. The individual will be the primary executive responsible for all legal affairs and compliance matters, managing a team of around five staff and reporting directly to the managing director.

Key Responsibilities

- Provide support to the board and senior management team regarding potential legal risks and liability
- Lead the compliance department as it manages both Trident and its clients' compliance risk
- Ensure development and maintenance of policies and procedures that comply with all relevant and applicable statutory and regulatory requirements, as well as industry best practices
- Monitor developments in the local regulatory landscape and suggest implementation plans to address those developments
- Review, update and maintain service contracts and precedents to reflect amendments to legislation and industry best practices
- Proactively look for solutions and better practices to mitigate risks
- Deal with external parties such as regulators and attorneys
- Coordinate and drive the file review process (including customer due diligence review) of complex structures and assist in selecting files for review based on risk assessment
- Where relevant, act as the compliance officer, money laundering reporting officer or the deputy money laundering reporting officer for client entities and carry out duties as per local regulatory requirements, including overseeing the compliance monitoring program and preparing periodic reports to the boards of client entities

- Design, review and update formats of compliance reports, including compliance of key performance indicators
- Monitor implementation of anti-money laundering policies and procedures for client entities
- Provide, at least once a year, relevant updates to the board regarding the status of, and compliance with, the anti-money laundering and countering the financing of terrorism program
- Assist the senior management team with ongoing training of employees in relation to legal matters
- Other projects as requested by management

Qualifications and Skills

- Attorney at law or equivalent qualification
- Thorough understanding of Mauritian laws and regulations
- Excellent knowledge of corporate, trust, fund, private equity and structured finance entities
- Good analytical and problem-solving skills
- Highly motivated with the ability to lead a team to successful outcomes
- Good communication skills, both verbal and written
- A high level of accuracy and attention to detail
- Quick learner who can adapt to different work environments
- Ability to work under pressure
- Proficient in Microsoft Office tools and with information technology in general

Training

Training will be provided to comply with ongoing professional development and regulatory requirements as a minimum.

Remuneration

Salary commensurate with experience and qualifications.

Applications

Applications should include a full C.V. and will be treated in the strictest of confidence. Please submit applications to mauritius@tridenttrust.com.